

HAWAII STATE ETHICS COMMISSION ORGANIZATION'S OR INDIVIDUAL'S EXPENDITURES AND CONTRIBUTIONS REPORT

FORM ORG

(To be filed by organizations, employing organizations and individuals other than registered lobbyists)

- Company of the Comp		***************************************	-010,0	- 1000 j.c.c.			_	
HAWAII STATE ETHICS COMMIT 1001 Bishop Street, ASB Tower Street, A	Suite 970			THIS SPAC	CE FOR OFFIC		05 JUN 14 A10 :33	RECEIVED
For lobbying reporting period:	Contact person	Kim Chamberla	in		Dh	one 212-720		
[] January 1 - last day of February	Organization	Securities Indus		ssociation	Pno	one <u> /-</u>		
[✓] March 1 - April 30	Mailing Address	400 D				***************************************		
[] May 1 - December 31	Walling Address	New York, NY						
Year of Report 2005		, , , , , , , , , , , , , , , , , , , ,						
rear of report 25		ART I. TOTAL	EVE	ENDITUDES				
The total sum or value of all experied was: \$\frac{3.000.0}{}\$		urpose of lobbying	during	the statement				
EXPENDITURES								
	-	Total					To	otal
Category	Aı	mount			egory		Am	ount
Preparation & distribution of lobbying materials			7.	Entertainment				
2. Media advertising			8.	Food & bevera	iges			
Telegraph, telephone and other forms of telecommunication			9.	Gifts				
4. Postage	:		10.	. Loans				
5. Compensation paid to lobbyists	\$3	3,000.00	11.	. Other disburse	ments			
6. Fees (other than to lobbyists)		NC 944-1 - 01 - 11 - 11 - 11 - 11 - 11 - 11	ТО	TAL EXPENDI	TURES	-	3,0	00.00
List in this section the names of all lobbyis		OMPENSATION Find to the lobb						
Name		Address					ompensat	ion paid
George A. "Red" Morris		222 South Vineyard	Street	, Suite 401, Honolu	lu, HI 96813-2453	\$3,000.0	0	
					***************************************			man of the state
					. v			
						:		
	:							

EXPENDITURES OF \$25 OR MORE PER PERSON PER DAY List in this section all expenditures incurred for the purpose of lobbying of \$25 or more per person per day during the statement period. This section is not applicable Expenditures incurred in the total sum of \$25 or more per person per day were made for the following persons: Name & Address Amount or value AGGREGATE EXPENDITURES OF \$150 OR MORE PER PERSON List in this section all expenditures incurred for the purpose of lobbying in the total sum of \$150 or more per person during the statement period. This section is not applicable Expenditures incurred in the aggregate of \$150 or more per person were made for the following persons: Name & Address Amount or value PART II. CONTRIBUTIONS RECEIVED List in this section all contributions received for the purpose of lobbying in the total sum of \$25 or more per person during the statement period. ✓ This section is not applicable Contributions received in the total sum of \$25 or more per person were received from the following persons: Amount or value Name & Address PART III. SUBJECT AREAS OF LOBBYING Legislative and/or administrative action in the following areas was supported or opposed during the statement period: Human Services Education Science, Technology & Agriculture **Economic Development** ✓ Intergovernmental Relations, Communications & Government Operation & Tourism & Recreation International Affairs **Public Utilities** Finance ✓ Consumer Protection & Transportation Hawaiian Affairs ☐ Labor & Employment Commerce Planning, Land & Water Culture, Arts, Historic Other: (indicate below) Health Use Management Preservation Ecology, Energy Public Safety & Corrections Housing **Environmental Protection** I hereby certify that the statements made above are correct and complete to the best of my knowledge

(Signature of authorized person)

Name of authorized person (type or print) Kim Chamberlain

Title of authorized person Vice President & Counsel, Government Affairs